

OMB No. 2577-0226
Expires 4/30/2011

REVISÉD MARCH 2, 2010

1.0	PHA Information PHA Name: Northampton County Housing Authority PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing (SEMAP) <input checked="" type="checkbox"/> Standard (PHAS) PHA Fiscal Year Beginning: (MM/YYYY): 01/2010 PHA Code: PA 076 <input type="checkbox"/> HCV (Section 8)				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: PA076002: 75 units PA076006: 31 units Number of HCV units: PA076VO: 663 units				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The PHA's mission is to serve the citizens of its jurisdiction by providing affordable housing in a socially, environmentally and fiscally responsible manner, and by forming effective partnerships with other agencies and organizations to maximize equal and affordable housing opportunities.				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. See Appendix A for Goals and Objectives. See Appendix B for progress report. See Appendix F for <i>Violence Against Women Act</i> information				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: The 2010 Annual and 5-Year Plans are in a new format. However, the primary elements of the previous plans remain intact. (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. General administrative office of the Northampton County Housing Authority, Oliver C. Border House, Wood Street, Nazareth, PA.				
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> The Project-based Voucher Program consists of a 60-unit building in Wilson Borough, PA. No changes in the operation of this program are anticipated. The PHA does not operate any of the other programs listed.				
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.				
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1, for each current and open CFP grant and CFFP financing. See Appendix C for current and open CFP statements.				
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See Appendix D for 5-Year Action Plan.				

8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. See Appendix A. PHA housing needs have been reviewed as needed based upon current Consolidated Plan and other local data.
9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. See Appendix A. PHA Housing Needs strategies have been reviewed as needed based upon current Consolidated Plan, local conditions, PHA funding, etc.
10.0	Additional Information. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. The PHA has been particularly successful in recent years meeting the goal of operating its Voucher Program at a high utilization level relative to available funding. As noted in greater detail in the PHA's 5-Year Plan (Appendix A), this process has been made immeasurably more difficult by HUD's failure to notify the PHA what its final fiscal year PCV budget will be until late in the year, and then HUD's further failure to pro-rate future funding on efforts made by the PHA to add PCV units based upon receipt of late-year supplemental funding. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification". The PHA defines a "significant amendment" as the inclusion of a new stated goal (as delineated in Appendix A) or the removal of an existing goal. No such amendments have occurred in this Plan. The PHA defines a "substantial deviation/modification" as a change of more than 25% in any quantified goal (except in instances where funds have not become available to meet said goal). No such deviations or modifications have occurred in this Plan.
11.0	Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights). Original signed form mailed to HUD. (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only). Original signed form mailed to HUD. (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only). Original signed form mailed to HUD. (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only). Original signed form mailed to HUD. (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only). Original signed form mailed to HUD. (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. See Appendix E. (g) Challenged Elements. N.A. (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only). See Appendix C. (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only). See Appendix D.

APPENDIX A

NORTHAMPTON COUNTY HOUSING AUTHORITY 5-YEAR PLAN PHA FISCAL YEARS 2010 - 2014 [24 CFR Part 903.5]

GOALS AND OBJECTIVES

NOTES:

1. Highlighted passages have been added to 5-Year plan to reflect revisions to the plan following its initial submission to HUD in November 2009.
2. Underlined passages reflect revisions to the plan from submissions prior to November 2008.
3. See 2009-2013 5-Year Plan to review PHA activities for years prior to 2008.

A. Mission

The PHA's mission is to serve the citizens of its jurisdiction by providing affordable housing in a socially, environmentally and fiscally responsible manner, and by forming effective partnerships with other agencies and organizations to maximize equal and affordable housing opportunities.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD STRATEGIC GOAL: INCREASE THE AVAILABILITY OF DECENT, SAFE, AND AFFORDABLE HOUSING.

- ☒ **PHA Goal: Expand the supply of assisted housing**
Objectives:
☒ Apply for additional rental vouchers to the maximum available, provided

that lease-up of current allocation is close to meeting PHA percentage goals.



Reduce public housing vacancies:



Leverage private or other public funds to create additional housing opportunities: develop revolving fund loan program within two years using up to 30% of PHA Operating Reserve to leverage new and on-going home ownership, special needs and other affordable housing programs.



Acquire or build units or developments in locations consistent with the goal of deconcentrating poverty and expanding housing and economic opportunities. Such locations (with census tract poverty rates below 20%) include virtually all municipalities within the PHA's jurisdiction.



Other: maximize issuance of Section 8 Vouchers, to occupy at least 95% of units (based upon maximum HAP payments) within two years. 5/08: based on additional funding notification received from HUD in mid-2007, PHA leased approximately 150 units by the end of the year. PHA applied for and received in May 2008 approximately \$600,000 supplemental funding from HUD to assure that additional units will continue on program. 12/08 based on additional leasing, PHA achieved lease-up of nearly 94% of actual 2007 budget authority. 5/09: The PHA has just received notification of final funding levels for the current year: this will enable it to establish specific Voucher goals. The PHA has expressed concern to HUD that by not establishing these levels early in the year, HUD makes it difficult to fully utilize available funds, and then (by not fully pro-rating funds used the previous year), HUD penalizes the PHA by not continuing to fund units that may have been added to the program with supplemental funding.



Other: Increase access to affordable housing by reducing fraudulent occupancy of Public Housing and Voucher units. Working with HUD and other agencies, and using enhanced technology methods, the PHA has helped identify, prosecute and/or remove from its rolls tenants whose rent subsidies were based in whole or in part on fraudulent or inaccurate reporting of income or other violations.



Other: Improve operating efficiency. Operating efficiency of PHA, including staff overtime (generally without compensation) enabled PHA to add approximately 150 Voucher units between July 2007 (following HUD notice of additional funding) and end of year. 5/08: PHA has added staff to its Voucher operation.



Other: 8/07: Improve efficiency of PHA operations to maximize Vouchers by implementing new web-based computerized record-keeping system. 5/08: New web-based system is in place and operational, while undergoing final fine-tuning. 5/09: Staff training and implementation of new software systems is continuing.



Other: 1/10: Review and respond to affordable housing needs of low, very low and extremely low income families who reside in Northampton County. The PHA has is cognizant that the most recent Northampton County Consolidated Plan (2007-2011) has identified the following

numbers and percentages of low income families in the County: below 30% of Median Family Income (MFI): 4,206 families (6.5% of all families in the County); 30-50 % MFI: 5,963 (9.2%); 50-80% MFI: 10,864 (16.8%). The PHA recognizes that these numbers represent an enormous quantity and range of needs, scattered throughout the County but concentrated (as noted in the Consolidated Plan) in the older boroughs. The PHA continues to focus its efforts, within the limitations of the Housing Choice Voucher Program funding and regulations, on addressing needs of most needy of these families, and notes that the County-wide distribution of its HC Vouchers reflects the concentration of needs identified in the Consolidated Plan. The PHA will continue to monitor the possible availability of additional funding to expand current programs and/or establish new ones based upon the needs identified in the Consolidated Plan.

☒ **PHA Goal: Improve the quality of assisted housing**

Objectives:

- ☒ Improve public housing management: PHAS score as of most recent year reported (2006) was 83. The PHA is awaiting a new evaluation from HUD.
- ☒ Improve voucher management: 5/08: PHA SEMAP score for 2007 was 100%. Initially, the score was 85%, based on a 15 point deduction for not achieving 95% lease-up. However, the PHA appealed this score, insofar as funding was not made available to achieve this level of lease-up until mid-2007, after which PHA added approximately 150 units (about 20% of the entire program) by the end of the year, to achieve a total lease-up of nearly 94%. The appeal was successful. 4/09: PHA SEMAP score for 2008 was 96%; the PHA remains a SEMAP "High Performer".
- ☐ Increase customer satisfaction:
- ☐ Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- ☒ Renovate or modernize public housing units: 5/08: PHA has completed accessibility improvements at Oliver C. Border House entry and public restrooms as recommended in Section 504 assessment of 2006. 5/08: PHA has completed accessibility and safety related replacement of outdoor furnishing at Oliver C. Border House. 5/09: Projects currently under way include work on energy-saving and comfort-related improvements to public space heating, ventilating and air conditioning systems at Oliver C. Border House, and installation of a water-system back-flow preventer at Oliver C. Border House.
- ☐ Demolish or dispose of obsolete public housing:
- ☐ Provide replacement public housing:
- ☐ Provide replacement vouchers:
- ☒ Other: (list below): 4/09: PHA will accelerate and enhance public housing improvements based upon acceptance of funds from the American Recovery and Reinvestment Act. This plan and related Capital Fund

budget documents have been updated accordingly.

☒ **PHA Goal: Increase assisted housing choices**

Objectives:

- ☐ Provide voucher mobility counseling:
- ☒ Conduct outreach efforts to potential voucher landlords: 5/08: based on additional funding notification received from HUD in mid-2007, the PHA continued its outreach efforts to potential landlords, and successfully leased approximately 150 units by the end of the year.
- ☐ Increase voucher payment standards
- ☐ Implement voucher homeownership program:
- ☐ Implement public housing or other homeownership programs and/or provide funding to ongoing successful local programs.
- ☐ Implement public housing site-based waiting lists:
- ☐ Convert public housing to vouchers:
- ☒ Other: continue to develop and implement leveraged funding program(s) as noted above.
- ☒ Other: Develop programs to meet needs of residents that are currently not being addressed, such as assisted living needs of the elderly and frail elderly. Goal: develop 10-20 units of housing to address these needs. 8/08: PHA resumed consideration of affordable assisted living housing development, based on potential new state and Medicaid initiatives regarding funding of such programs. As yet, these initiatives have not been implemented. The PHA continues to monitor this situation.
- ☒ Other: Maintain liaison with county-based Affordable Housing Advisory Board to explore and encourage creative housing strategies. 5/09: PHA participation in the County Affordable Housing Advisory continues to include the chairperson of that board (now a PHA board member), a second PHA board member, the PHA Executive Director and the PHA planning consultant. County Affordable Housing Advisory Board is now coordinating efforts in a bi-county area.
- ☒ Other: 5/08: The PHA board has established a Strategic Planning Subcommittee to investigate options for expanding assisted housing choices. 5/09: The Strategic Planning Subcommittee has begun the process of reviewing a number of PHA personnel and management practices and will do so on an on-going basis. Several items ((including staff health insurance options), have been referred to the full board for consideration.

HUD STRATEGIC GOAL: IMPROVE COMMUNITY QUALITY OF LIFE AND ECONOMIC VITALITY

☒ **PHA Goal: Provide an improved living environment**

Objectives:

- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:

- ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☐ Implement public housing security improvements:
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☒ Other: (list below): Energy Conservation 5/08: Energy audits were performed for four regional PHA's, as initiated and coordinated by this PHA, and all energy audit work identified as being needed by this PHA has been completed.

HUD STRATEGIC GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF FAMILIES AND INDIVIDUALS

☒ **PHA Goal: Promote self-sufficiency and asset development of assisted households**

Objectives:

- ☐ Increase the number and percentage of employed persons in assisted families:
- ☐ Provide or attract supportive services to improve assistance recipients' employability:
- ☐ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ☒ Other: improve liaison with agencies providing appropriate services, particularly to groups or individuals identified as in greatest need by Commonwealth of Pennsylvania Consolidated Plan, northeast region. 8/08: PHA's efforts to develop an affordable assisted living program in Northampton County have included dialogues with the County administration itself and the Northampton County Area Agency on Aging.

HUD STRATEGIC GOAL: ENSURE EQUAL OPPORTUNITY IN HOUSING FOR ALL AMERICANS

☒ **PHA Goal: Ensure equal opportunity and affirmatively further fair housing**

Objectives:

- ☒ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: including support of initiatives to develop housing for persons with disabilities.
- ☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:

- ☒ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: 5/08: PHA has completed accessibility improvements at Oliver C. Border House entry and public restrooms as recommended in Section 504 assessment of 2006.
- ☒ 12/09: Develop and approve a written policy that incorporates all required provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (VAWA). This includes continued PHA partnerships with service providers to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; assuring that PHA admissions and occupancy policies reflect the PHA's leasing Rights and responsibilities under the Act; and otherwise adopting all related provisions as described in the Federal Register of March 16, 2007, page 12697 and all related documents. See Appendix F of the PHA's Annual Plan for further details.

(End of 5-Year Plan: 2010-2014)

**NORTHAMPTON COUNTY HOUSING AUTHORITY
5-YEAR PLAN
PHA FISCAL YEARS 2010 - 2014**

2009 PROGRESS REPORT

The Five-Year Plan of the Northampton County Housing Authority for 2010-2014 notes (in passages that are underlined) the following progress (and/or setbacks) from June 2008 through January 2010 towards achieving the goals and objectives described in the PHA's previous Five-Year Plan.

**HUD STRATEGIC GOAL: INCREASE THE AVAILABILITY OF DECENT, SAFE,
AND AFFORDABLE HOUSING.**

- ☒ **PHA Goal: Expand the supply of assisted housing**
- ☒ Other: Maximize issuance of Section 8 Vouchers, to occupy at least 95% of units (based upon maximum HAP payments) within two years. 5/09: The PHA has just received notification of final funding levels for the current year: this will enable it to establish specific Voucher goals. The PHA has expressed concern to HUD that by not establishing these levels early in the year, HUD makes it difficult to fully utilize available funds, and then (by not fully pro-rating funds used the previous year), HUD penalizes the PHA by not continuing to fund units that may have been added to the program with supplemental funding.
- ☒ Other: maximize issuance of Section 8 Vouchers, to occupy at least 95% of units (based upon maximum HAP payments) within two years.
- ☒ Other: Operating efficiency of PHA
6/08: PHA has added staff to its Voucher operation.
5/09: Staff training and implementation of new software systems is continuing.
- ☒ Other: 1/10: Review and respond to affordable housing needs of low, very low and extremely low income families who reside in Northampton County. The PHA has is cognizant that the most recent Northampton County Consolidated Plan (2007-2011) has identified the following numbers and percentages of low income families in the County: below 30% of Median Family Income (MFI): 4,206 families (6.5% of all families in the County); 30-50 % MFI: 5,963 (9.2%); 50-80% MFI: 10,864 (16.8%). The PHA recognizes that these numbers represent an enormous quantity and range of needs, scattered throughout the County but concentrated (as noted in the Consolidated Plan) in the older boroughs. The PHA continues to focus its efforts, within the limitations of the Housing Choice Voucher Program funding and regulations, on addressing needs of most needy of these families, and notes that the County-wide distribution of its HC Vouchers reflects the concentration of needs identified in the Consolidated Plan. The PHA

will continue to monitor the possible availability of additional funding to expand current programs and/or establish new ones based upon the needs identified in the Consolidated Plan.

☒ **PHA Goal: Improve the quality of assisted housing**

- ☒ Improve voucher management: 5/08: PHA SEMAP score for 2007 was 100%. Initially, the score was 85%, based on a 15 point deduction for not achieving 95% lease-up. However, the PHA appealed this score, insofar as funding was not made available to achieve this level of lease-up until mid-2007, after which PHA added approximately 150 units (about 20% of the entire program) by the end of the year, to achieve a total lease-up of nearly 94%. The appeal was successful. 4/09: PHA SEMAP score for 2008 was 96%; the PHA remains a SEMAP "High Performer".
- ☒ Renovate or modernize public housing units: 5/09: Projects currently under way include work on energy-saving and comfort-related improvements to public space heating, ventilating and air conditioning systems at Oliver C. Border House, and installation of a water-system back-flow preventer at Oliver C. Border House.
- ☒ Other: 4/09: PHA will accelerate and enhance public housing improvements based upon acceptance of funds from the American Recovery and Reinvestment Act. This plan and related Capital Fund budget documents have been updated accordingly.

☒ **PHA Goal: Increase assisted housing choices**

- ☒ Other: Develop programs to meet needs of residents that are currently not being addressed, such as assisted living needs of the elderly and frail elderly. Goal: develop 10-20 units of housing to address these needs. 8/08: PHA resumed consideration of affordable assisted living housing development, based on potential new state and Medicaid initiatives regarding funding of such programs. As yet, these initiatives have not been implemented. The PHA continues to monitor this situation.
- ☒ Other: Maintain liaison with county-based Affordable Housing Advisory Board to explore and encourage creative housing strategies. 5/09: PHA participation in the County Affordable Housing Advisory continues to include the chairperson of that board (now a PHA board member), a second PHA board member, the PHA Executive Director and the PHA planning consultant. County Affordable Housing Advisory Board is now coordinating efforts in a bi-county area.
- ☒ Other: The PHA board has established a Strategic Planning Sub-committee to investigate options for expanding assisted housing choices. 5/09: The sub-committee has begun the process of reviewing a number of PHA personnel and management practices and will do so on an on-going basis. Several items ((including staff health insurance options), have been referred to the full board for consideration.

**HUD STRATEGIC GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET
DEVELOPMENT OF FAMILIES AND INDIVIDUALS**

- ☒ **PHA Goal: Promote self-sufficiency and asset development of assisted households**
- ☒ Other: improve liaison with agencies providing appropriate services, particularly to groups or individuals identified as in greatest need by Pennsylvania Consolidated Plan, northeast region. 8/08: PHA's efforts to develop an affordable assisted living program in Northampton County have included dialogues with the County itself and its Area Agency on Aging.
- ☒ 12/09: Incorporate and apply all required provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (VAWA). This includes continued PHA partnerships with service providers to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; assuring that PHA admissions and occupancy policies reflect the PHA's leasing Rights and responsibilities under the Act; and otherwise adopting all related provisions as described in the Federal Register of March 16, 2007, page 12697 and all related documents.
- ☒ 12/09: Develop and approve a written policy that incorporates all required provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (VAWA). This includes continued PHA partnerships with service providers to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; assuring that PHA admissions and occupancy policies reflect the PHA's leasing Rights and responsibilities under the Act; and otherwise adopting all related provisions as described in the Federal Register of March 16, 2007, page 12697 and all related documents. See Appendix F of the PHA's Annual Plan for further details.

(End of 2009 Progress Report)

APPENDIX C

NORTHAMPTON COUNTY HOUSING AUTHORITY CAPITAL FUND PROGRAM STATEMENTS

The following Capital Fund Program Annual Statements/Performance and Evaluation Reports begin with FY 2006. All previous years are closed out: see Northampton County Housing Authority 2009 Annual Plan for final CFP forms 2003-2005.

Note that there are two forms for 2009: one for regular annual funding and one for supplemental funding based upon ARRA, the American Recovery and Reinvestment Act. The CFP statements that follow are:

- **Appendix C.1** **2006**
- **Appendix C.2** **2007**
- **Appendix C.3** **2008**
- **Appendix C.4** **2009 American Recovery & Reinvestment Act (ARRA)**
- **Appendix C.5** **2009**
- **Appendix C.6** **2010**

Part I: Summary

PHA Name: Northampton County Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA76 501-06 Date of CFFP: _____ 2006 _____	FFY of Grant 2006 FFY of Grant Approval: 2006
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 9/24/09) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report		Appendix C.1

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CFP Funds		-	-	-
2	1406 Operations (may not exceed 20% of line 21) ³	\$ 10,000.00	\$ 39,745.00	39,745.00	39,745.00
3	1408 Management Improvements	\$ 15,000.00	\$ 19,301.50	19,301.50	19,301.50
4	1410 Administration (may not exceed 10% of line 21)	\$ 10,000.00	\$ 10,000.00	10,000.00	10,000.00
5	1411 Audit		-	-	-
6	1430 Fees and Costs - Loan		\$ -	-	-
7	1430 Fees and Costs	\$ 15,000.00	\$ 11,683.00	11,683.00	11,683.00
8	1440 Site Acquisition			-	-
9	1450 Site Improvements	\$ -	\$ -	-	-
10	1460 Dwelling Structures	\$ 65,000.00	\$ 35,405.50	35,405.50	35,405.50
11	1465.1 Dwelling Equipment - Non-expendable		\$ -	-	-
12	1470 Non-dwelling Structures	\$ -	\$ 700.00	700.00	700.00
13	1475 Non-dwelling Equipment	\$ 5,000.00	\$ -	-	-
14	1485 Demolition				-
15	1492 Moving to Work Demonstration				-
16	1495.1 Relocation Costs			-	-
17	1499 Development Activities ⁴				-
18a	1501 Collateralization or Debt Service paid by the PHA		\$ -	-	-
18ba	9000 Collateralization or Debt Service paid by Via System of Direct				
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -		
20	Amount of Annual Grant: (sum lines 2 - 19)	\$ 120,000.00	\$ 116,835.00	\$ 116,835.00	\$ 116,835.00
21	Amount of line 20 related to LBP Activities				
22	Amount of line 20 related to Section 504 Activities	\$ 5,000.00	\$ 700.00	\$ 700.00	\$ 700.00
23	Amount of line 20 related to Security - Soft Costs				
24	Amount of line 20 related to Security -Hard Costs	\$ -			
25	Amount of line 20 Related to Energy Conservation Measures	\$ 45,000.00	\$ 35,405.00	\$ 35,405.00	\$ 35,405.00

- ¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary

PHA Name: Northampton County Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA76 501-06 Date of CFFP: _____	FFY of Grant 2006 FFY of Grant Approval: 2006
---	---	--

Type of Grant

- ☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☒ Revised Annual Statement (revision no: 1)
☐ Performance and Evaluation Report for Period Ending: ☒ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ₁	
		Original	Revised ₂	Obligated	Expended
Signature of Executive Director _____ Date _____		Signature of Public Housing Director _____ Date _____			

Part II: Supporting Pages

PHA Name: Northampton County Housing Authority

Grant Type and Number	Amount	Source	Year
...

Capital Fund Program Grant No: PA76 501-06

Replacement Housing Factor Grant No:

Federal FFY of Grant: 2006

[illegible]

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement..

2 To be completed for the Performance and Evaluation Report

[illegible]

Page 4 of 4

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
1	Annual Statement/ Performance and Evaluation Report										U.S. Department of Housing and Urban Development					
2	Capital Fund Program, Capital Fund Program Replacement Housing Factor and										Office of Public and Indian Housing					
3	Capital Fund Financing Program										OMB No. 2577-0226					
4											Expires 4/30/2011					
5	Part I: Summary															
6	PHA Name: Northampton County Housing Authority								Grant Type and Number Capital Fund Program Grant No: PA76 501-07				FFY of Grant 2007			
7									Date of CFFP: 2007				FFY of Grant Approval: 2007			
8																
9																
10	Type of Grant										Appendix C.2 10/12/09					
11	<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)															
12																
13	<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008 <input checked="" type="checkbox"/> Final Performance and Evaluation Report															
14																
15	Line	Summary by Development Account						Total Estimated Cost				Total Actual Cost ₁				
16								Original		Revised ₂		Obligated		Expended		
17	1	Total Non-CFP Funds								-		-		-		
18	2	1406 Operations (may not exceed 20% of line 21) ₃						\$ 10,000.00		\$ 12,140.50		12,140.50		12,140.50		
19	3	1408 Management Improvements						\$ 15,000.00		\$ 15,000.00		15,000.00		15,000.00		
20	4	1410 Administration (may not exceed 10% of line 21)						\$ 10,000.00		\$ 10,000.00		10,000.00		10,000.00		
21	5	1411 Audit										-		-		
22	6	1430 Fees and Costs - Loan								\$ -		-		-		
23	7	1430 Fees and Costs						\$ 15,000.00		\$ 15,000.00		15,000.00		15,000.00		
24	8	1440 Site Acquisition										-		-		
25	9	1450 Site Improvements						\$ 5,000.00		\$ 15,000.00		15,000.00		15,000.00		
26	10	1460 Dwelling Structures						\$ 53,482.00		\$ 35,333.00		35,333.00		35,333.00		
27	11	1465.1 Dwelling Equipment - Non-expendable										-		-		
28	12	1470 Non-dwelling Structures						\$ -		\$ -		-		-		
29	13	1475 Non-dwelling Equipment						\$ 5,000.00		\$ 13,605.50		13,605.50		13,605.50		
30	14	1485 Demolition												-		
31	15	1492 Moving to Work Demonstration												-		
32	16	1495.1 Relocation Costs										-		-		
33	17	1499 Development Activities ₄												-		
34	18a	1501 Collateralization or Debt Service paid by the PHA								\$ -		-		-		
35	18ba	9000 Collateralization or Debt Service paid by Via System of Direct														
36	19	1502 Contingency (may not exceed 8% of line 20)						\$ -		\$ -						
37	20	Amount of Annual Grant: (sum lines 2 - 19)						\$ 113,482.00		\$ 116,079.00		\$ 116,079.00		\$ 116,079.00		
38	21	Amount of line 20 related to LBP Activities														
39	22	Amount of line 20 related to Section 504 Activities						\$ 43,482.00		\$ 15,000.00		\$ 50,333.00		\$ 50,333.00		
40	23	Amount of line 20 related to Security - Soft Costs														
41	24	Amount of line 20 related to Security -Hard Costs						\$ -						9/24/2009		
42	25	Amount of line 20 Related to Energy Conservation Measures						\$ 20,000.00		\$ 40,000.00		\$ -				
43																
44	₁ To be completed for the Performance and Evaluation Report.															
45	₂ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.															
46	₃ PHAs with under 250 units may use 100% of CFP Grants for operations.															
47	₄ RHF funds shall be included here.															
48	Page 1 of 4 form HUD-50075.1 (4/2008)															

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
1	Annual Statement/ Performance and Evaluation Report										U.S. Department of Housing and Urban Development					
2	Capital Fund Program, Capital Fund Program Replacement Housing Factor and										Office of Public and Indian Housing					
3	Capital Fund Financing Program										OMB No. 2577-0226					
4											Expires 4/30/2011					
5	Part I: Summary															
6	PHA Name: Northampton County Housing Authority								Grant Type and Number				FFY of Grant			
7									Capital Fund Program Grant No: PA76 501-07				2007			
8									Date of CFFP: _____				FFY of Grant Approval:			
9													2007			
10	Type of Grant															
11	<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)															
12																
13	<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008 <input checked="" type="checkbox"/> Final Performance and Evaluation Report															
14																
15	Line	Summary by Development Account						Total Estimated Cost				Total Actual Cost ₁				
16								Original		Revised ₂		Obligated		Expended		
17	Signature of Executive Director						Date		Signature of Public Housing Director						Date	
18																
19																
20																
21																
22																
23																
24																
25																
26																
27																
28																
29																
30																
31																
32																
33																
34																
35																
36																
37																
38																
39																
40	<div>Page 2 of 4</div> <div>form HUD-50075.1 (4/2008)</div>															

PHA Name: Northampton County Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA76 501-07 Replacement Housing Factor Grant No:	Federal FFY of Grant: 2007
--	---	----------------------------

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement..
2 To be completed for the Performance and Evaluation Report

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Northampton County Housing Authority						Federal FFY of Grant: 2007	
Development Number Name/PHA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates ₁
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide	9/12/2009		10/31/2007	9/12/2011		7/31/2008	
Oliver Border House PA 76-2	9/12/2009		10/31/2007	9/12/2011		7/31/2008	
Howard Jones Manor PA 76-6	9/12/2009		10/31/2007	9/12/2011		7/31/2008	

₁ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended

Actual Modernization Cost Certificate

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

4B Approval No. 2577-0157 (exp. 12/31/2011)

Comprehensive Improvement Assistance Program (CIAP)
Comprehensive Grant Program (CGP)

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Paperwork Reduction Project (2577-0044 and 0157), Office of Information Technology, U.S. Department of Housing and Urban Development, 20410-3600. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection has a valid OMB control number.

Do not send this form to the above address.

This collection of information requires that each Housing Authority (HA) submit information to enable HUD to initiate the fiscal closeout process. The information will be used by HUD to determine whether the modernization grant is ready to be audited and closed out. The information is essential for verification and fiscal close out. Responses to the collection are required by regulation. The information requested does not lend itself to confidential treatment.

HA Name:	Modernization Project Number:
Housing Authority of Northampton County	PA26 PO76 501-07

The HA hereby certifies to the Department of Housing and Urban Development as follows:

1. That the total amount of Modernization Cost (herein called the "Actual Modernization Cost") of the Modernization Grant, is as shown below:

A. Original Funds Approved	\$ 116,079.00
B. Funds Disbursed	\$ 116,079.00
C. Funds Expended (Actual Modernization Cost)	\$ 116,079.00
D. Amount to be Recaptured (A-C)	\$ 0.00
E. Excess of Funds Disbursed (B-C)	\$ 0.00

2. That all modernization work in connection with the Modernization Grant has been completed;

3. That the entire Actual Modernization Cost or liabilities therefor incurred by the HA have been fully paid;

4. That there are no undischarged mechanics', laborers', contractors', or material-men's liens against such modernization work on file in any public office where the same should be filed in order to be valid against such modernization work; and

5. That the time in which such liens could be filed has expired.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Signature of Executive Director & Date:

X

For HUD Use Only

The Cost Certificate is approved for audit:

Approved for Audit (Director, Office of Public Housing/ONAP Administrator)

Date:

X

The audited costs agree with the costs shown above:

Verified: (Designated HUD Official)

Date:

X

Approved: (Director, Office of Public Housing/ONAP Administrator)

Date:

X

Part I: Summary

PHA Name: Northampton County Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA76 501-078 Date of CFFP: _____ 2008 _____	FFY of Grant 2008 FFY of Grant Approval: 2008
---	---	--

Type of Grant Appendix C.3

☐ Original Annual Statement
 ☐ Reserve for Disasters/Emergencies
 ☒ Revised Annual Statement (revision no: 1)

☒ Performance and Evaluation Report for Period Ending: 12/31/2008
 ☒ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CFP Funds		-	-	-
2	1406 Operations (may not exceed 20% of line 21) ³	\$ 10,000.00	\$ 10,000.00	10,000.00	10,000.00
3	1408 Management Improvements	\$ 15,000.00	-	-	-
4	1410 Administration (may not exceed 10% of line 21)	\$ 10,000.00	\$ 14,567.00	14,567.00	14,567.00
5	1411 Audit			-	-
6	1430 Fees and Costs - Loan		\$ -	-	-
7	1430 Fees and Costs	\$ 15,000.00	\$ -	-	-
8	1440 Site Acquisition			-	-
9	1450 Site Improvements			-	-
10	1460 Dwelling Structures			-	-
11	1465.1 Dwelling Equipment - Non-expendable			-	-
12	1470 Non-dwelling Structures	\$ -	\$ -	-	-
13	1475 Non-dwelling Equipment	\$ 63,432.00	\$ -	-	-
14	1485 Demolition			-	-
15	1492 Moving to Work Demonstration			-	-
16	1495.1 Relocation Costs			-	-
17	1499 Development Activities ⁴			-	-
18a	1501 Collateralization or Debt Service paid by the PHA		\$ -	-	-
18ba	9000 Collateralization or Debt Service paid by Via System of Direct				
19	1502 Contingency (may not exceed 8% of line 20)	\$ -	\$ -		
20	Amount of Annual Grant: (sum lines 2 - 19)	\$ 113,432.00	\$ 24,567.00	\$ 24,567.00	\$ 24,567.00
21	Amount of line 20 related to LBP Activities				
22	Amount of line 20 related to Section 504 Activities				
23	Amount of line 20 related to Security - Soft Costs				
24	Amount of line 20 related to Security -Hard Costs	\$ -			
25	Amount of line 20 Related to Energy Conservation Measures	\$ 48,432.00			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary

PHA Name: Northampton County Housing Authority	Grant Type and Number Capital Fund Program Grant No: PA76 501-078 Date of CFFP: _____	FFY of Grant 2008 FFY of Grant Approval: 2008
---	--	--

Type of Grant

- ☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☒ Revised Annual Statement (revision no: 1)
- ☒ Performance and Evaluation Report for Period Ending: 12/31/2008 ☒ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ₁	
		Original	Revised ₂	Obligated	Expended
Signature of Executive Director _____ Date _____		Signature of Public Housing Director _____ Date _____			

Part II: Supporting Pages

PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA76 501-078 Replacement Housing Factor Grant No:				Federal FFY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Operations	1406	n/a	10,000.00	10,000.00	10,000.00	10,000.00	Completed
HA-Wide	Management Improvements: Comp software	1408	n/a	15,000.00	-	-	-	Not Started
HA-Wide	Administration: E.D. sal, acct/bookkeeping	1410	n/a	10,000.00	14,567.00	14,567.00	14,567.00	In progress
HA-Wide	Fees & Costs: planning/architect/eng consultants	1430	n/a	15,000.00	-	-	-	Not Started
PA 76-2	NonDwelling Equipment: community room/office hvac	1475	10 units	48,432.00	-	-	-	Not Started
PA 76-2	Nondwelling Equipment: lawn tractor	1475	1	10,000.00		-	-	Not Started
PA 76-2	NonDwelling Equipment: computer hardware	1475	n/a	5,000.00		-	-	Not Started
Page Totals				113,432.00	24,567.00	24,567.00	24,567.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement..

² To be completed for the Performance and Evaluation Report

[illegible]

Page 4 of 4

Actual Modernization Cost Certificate

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

4B Approval No. 2577-0157 (exp. 12/31/2011)

Comprehensive Improvement Assistance Program (CIAP)
Comprehensive Grant Program (CGP)

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Paperwork Reduction Project (2577-0044 and 0157), Office of Information Technology, U.S. Department of Housing and Urban Development, 20410-3600. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number.

Do not send this form to the above address.

This collection of information requires that each Housing Authority (HA) submit information to enable HUD to initiate the fiscal closeout process. The information will be used by HUD to determine whether the modernization grant is ready to be audited and closed out. The information is essential for verification and fiscal close out. Responses to the collection are required by regulation. The information requested does not lend itself to confidential treatment.

HA Name:	Modernization Project Number:
Housing Authority of Northampton County	PA26 PO76 501-08

The HA hereby certifies to the Department of Housing and Urban Development as follows:

1. That the total amount of Modernization Cost (herein called the "Actual Modernization Cost") of the Modernization Grant, is as shown below:

A. Original Funds Approved	\$ 113,432.00
B. Funds Disbursed	\$ 24,567.00
C. Funds Expended (Actual Modernization Cost)	\$ 24,567.00
D. Amount to be Recaptured (A-C)	\$ 88,865.00
E. Excess of Funds Disbursed (B-C)	\$ 0.00

2. That all modernization work in connection with the Modernization Grant has been completed;

3. That the entire Actual Modernization Cost or liabilities therefor incurred by the HA have been fully paid;

4. That there are no undischarged mechanics', laborers', contractors', or material-men's liens against such modernization

work on file in any public office where the same should be filed in order to be valid against such modernization work; and

5. That the time in which such liens could be filed has expired.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Signature of Executive Director & Date:

X

For HUD Use Only

The Cost Certificate is approved for audit:

Approved for Audit (Director, Office of Public Housing/ONAP Administrator)

Date:

X

The audited costs agree with the costs shown above:

Verified: (Designated HUD Official)

Date:

X

Approved: (Director, Office of Public Housing/ONAP Administrator)

Date:

X

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26S07650109 Replacement Housing Factor Grant No: Date of CFFP: 03/18/2009			FFY of Grant: FFY 2009 ARRA <input checked="" type="checkbox"/> FFY of Grant Approval: Appendix C.4
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	15,000			
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	14,000	31,041		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable	45,000	34,230		
12	1470 Non-dwelling Structures	74,144	82,873		
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	148,144	148,144		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				ARRA: rev 2.15.10
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	50,000	34,230		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary					
PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26S07650109 Replacement Housing Factor Grant No: Date of CFFP: 03/18/2009		FFY of Grant: FFY 2009 ARRA FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director Date 2.15.2010		Signature of Public Housing Director Date			

Part II: Supporting Pages								
PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26S07650109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: FFY 2009 (ARRA: Part II rev. 1/6/2010)		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA-wide	Management Improvements:	1408	n.a.	15,000				Deleted
	Management, financial and accounting control systems of the							
	PHA will be used in the tracking of invoices related to the use of							
	modernization funds;							
PA076076001P	Fees and Costs	1430	n.a.	7,000	21,041			new: in progress
	(engineer, architect, legal, accounting to perform Modernization tasks)							
76-2	Appliance replacement; stoves and refrigerators	1465.1	100	45,000	34,230			From 2011
								5-yr Action Plan
								@ \$5,000:
								bids received
76-2	Wood Street canopy repairs	1470	1	5,000	5,960			From 2011
								5-yr Action Plan
								@ \$5,000:
								bids received

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA26S07650109 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009 ARRA		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
76-2	Window repairs	1470	75 units	7,500				Deleted
76-2	Lobby floor replacement	1470	800 s.f.	14,500	6,952			From 2010
								5-yr Action Plan
								@ \$15,000:
								bids received
PA076076001P								
76-6	Fees and Costs, including	1430	n.a.	7,000	10,000			new: in progress
	(engineer, architect, legal, accounting							
	to perform Modernization tasks)							
76-6	New patio/canopy	1470	700 s.f.	47,144	69,961			From 2011
								5-yr Action Plan
								@ \$40,000:
								bids received

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Northampton County Housing Authority					Federal FFY of Grant: 2009 ARRA
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PHA-wide	3/31/2010		3/31/2012		
76-2	3/31/2010		3/31/2012		
76-6	3/31/2010		3/31/2012		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

PHA Name:

Federal FFY of Grant:

THIS PAGE IS LEFT BLANK

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA076-09 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	10,000	23,000		
3	1408 Management Improvements	15,000	15,000		
4	1410 Administration (may not exceed 10% of line 21)	10,000	12,000		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	15,000	25,000		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures		18,000		
11	1465.1 Dwelling Equipment—Nonexpendable		34,929		
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	63,482			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs			Appendix C.5	
17	1499 Development Activities ⁴				[9/30/09]

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA 076-09 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	113,482	127,929		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				[9/30/09]
Signature of Executive Director		Date 9/30/09		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Northampton County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA 076-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA-wide	Operations	1406	n/a	10,000	23,000			not started
PHA-wide	Management Improvements: continue & upgrade Browser-based support system (Yardi ASP)	1408	n/a	15,000	15,000			not started
PHA-wide	Administration: ED/bookkeeper salary	1410	n/a	10,000	12,000			not started
PHA-wide	Fees & Costs: planning/architect/engineer	1430	n/a	15,000	25,000			not started
PA 76-2	Replace entry door	1460	1	5,000	-			deleted from 2009 budget to increase floor replacement budget
PA 76-2	lobby/corridor hvac	1475	3 floors	43,482	-			moved to 2008
PA 76-2	lobby floor replacement	1460	500 sf	5,000	18,000			See Note 1*
PA 76-2	appliance replacement: stoves/fridges	1465.1	30	-	20,000			See Note 2**
PA 76-6	appliance replacement: stoves & fridges	1465.1	30	-	14,929			See Note 2**
PA 76-6	repair roof vent system	1475	1 building	10,000	-			not needed
*	Note 1: A portion of this project (1 st floor lobby) moved to 2009 ARRA; moved from Account 1475)							
**	Note 2: Moved from 5-Year Plan (2010), approved by HUD 11/08							
	TOTAL			113,482	127,929			[9/30/09]

PHA Name: This page is left blank]

Capital Fund Program Grant No:
CFFP (Yes/ No):
Replacement Housing Factor Grant No:

[illegible]

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA076-10 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				Appendix C.6
2	1406 Operations (may not exceed 20% of line 21) ³	17,000			
3	1408 Management Improvements	17,000			
4	1410 Administration (may not exceed 10% of line 21)	10,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	16,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	41,482			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	12,000			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				[12/29/09]

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Northampton County Housing Authority		Grant Type and Number Capital Fund Program Grant No: PA 076-10 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	113,482			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				[5/13/09]
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Northampton County Housing Authority			Grant Type and Number Capital Fund Program Grant No: PA 076-10 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA-wide	Operations	1406	n/a	17,000				
PHA-wide	Management Improvements: software	1408	n/a	17,000				
PHA-wide	Administration: ED/bookkeeper salary	1410	n/a	10,000				
PHA-wide	Fees & Costs: planning/architect/engineer	1430	n/a	16,000				
PA 76-2	Ongoing Improvements: carpet replacement, handicap accommodations	1460	10 units	5,000				
PA 76-2	Project Needs Assessment Improvements: dwelling unit hardware, cabinets, etc	1460	75 units	15,000				
PA 76-2	Project Needs Assessment Improvements: elevator room, roofing, etc.	1470	1 building	8,000				
PA 76-2	Apartment toilet modifications	1460	75 units	7,000				
PA 76-6	Ongoing Improvements: carpet replacement, handicap accommodations	1460	5 units	3,482				
PA 76-6	Project Needs Assessment Improvements: dwelling unit hardware, cabinets	1460	31 units	8,000				
PA 76-6	Project Needs Assessment Improvements: elevator/mechanical room, roofing, etc.	1470	1 building	4,000				
PA 76-6	Apartment toilet modifications	1460	31 units	3,000				
	TOTAL			113,482				
								[12/29/09]

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Page4

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

13. Capital Fund Program Five-Year Action Plan 2010-2014

05/13/09

Part I: Summary

PHA Name		Original 5-Year Plan Revision No: 1			
Development Number/Name/HA- Wide	Year 1 2010	Work Statement for Year 2 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 3 FFY Grant: 2012 PHA FY: 2012	Work Statement for Year 4 FFY Grant: 2013 PHA FY: 2013	Work Statement for Year 5 FFY Grant: 2014 PHA FY: 2014
	Annual Statement				
HA-wide		Operations, Management Improvements, Administration, Fees and Costs	Operations, Management Improvements, Administration, Fees and Costs	Operations, Management Improvements, Administration, Fees and Costs	Operations, Management Improvements, Administration, Fees and Costs
76-2 OCB		Ongoing improvements*	Ongoing improvements*	Ongoing improvements*	Ongoing improvements*
		Project Needs Assessment Improvements	Project Needs Assessment Improvements	Project Needs Assessment Improvements	Project Needs Assessment Improvements
		Apartment toilet modifications	Update Section 504 review	Implement Sec. 504 review	Implement Energy Audit
				Update Energy Audit	
76-6 HJM		Ongoing improvements*	Ongoing improvements*	Ongoing improvements*	Ongoing improvements*
		Project Needs Assessment Improvements	Project Needs Assessment Improvements	Project Needs Assessment Improvements	Project Needs Assessment Improvements
		Apartment toilet modifications	Update Section 504 review	Implement Sec. 504 review	Implement Energy Audit
			Appliance Replacements	Update Energy Audit	
CFP Funds Listed for 5-year planning		\$113,482	\$113,482	\$113,482	\$113,482
Replacement Housing Factor Funds					

* Upgrades of computer and telecommunications systems
 Carpet replacement
 Handicapped access accommodation requests

Capital Fund Program Five-Year Action Plan 2010-2014
5/13/09
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 2011 FFY Grant: 2011 PHA FY: 2011			Activities for Year: 2012 FFY Grant: 2012 PHA FY: 2012		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA-wide	Operations, Management Improvements, Administration, Fees & Costs	60,000	HA-wide	Operations, Management Improvements, Administration, Fees & Costs	60,000
Annual						
Statement	Oliver Border House/PA 76-2	Ongoing Improvements	5,000	Oliver Border House/PA 76-2	Ongoing Improvements	5,000
		Project Needs Assessment Improvements	20,000		Project Needs Assessment Improvements	20,000
		Update Section 504 Review	5,000		Update Section 504 Review	5,000
	Howard Jones Manor/PA 76-6	Ongoing Improvements	3,482	Howard Jones Manor/PA 76-6	Ongoing Improvements	3,482
		Project Needs Assessment Improvements	5,000		Project Needs Assessment Improvements	5,000
		Update Section 504 Review	5,000		Update Section 504 Review	5,000
		Appliance Replacements	10,000		Appliance Replacements	10,000
	Total Estimated Cost		113,482			113,482

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages—Work Activities				2010-2014 5/13/09		
Activities for Year 1	Activities for Year : 2013 FFY Grant: 2013 PHA FY: 2013			Activities for Year: 2014 FFY Grant: 2014 PHA FY: 20114		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA-wide	Operations, Management Improvements, Administration, Fees & Costs	60,000	HA-wide	Operations, Management Improvements, Administration, Fees & Costs	60,000
Annual						
Statement	Oliver Border House/PA 76-2	Ongoing Improvements	5,000	Oliver Border House/PA 76-2	Ongoing Improvements	5,000
		Project Needs Assessment Improvements	20,000		Project Needs Assessment Improvements	20,000
		Implement Section 504 Review	7,000		Implement Energy Audit Update	12,000
		Energy Audit Update	5,000			
	Howard Jones Manor/PA 76-6	Ongoing Improvements	3,482	Howard Jones Manor/PA 76-6	Ongoing Improvements	3,482
		Project Needs Assessment Improvements	6,000		Project Needs Assessment Improvements	5,000
		Implement Section 504 Review	3,000		Implement Energy Audit Update	8,000
		Energy Audit Update	4,000			
	Total Estimated Cost		113,482			113,482

Appendix E

Northampton County Housing Authority Resident Advisory Board September 2009

Membership

Following are members of the Resident Advisory Board (RAB) as of August 31, 2009:

Elnora Lee	Chair
Ralph Grube	Vice Chair
Don Lukachek	Vice Chair
Betty Dimmick	Treasurer
Gloria Getz	Treasurer
Les Morrow	Secretary
Barbara Lukachek	Secretary
Viola Able	
Beverly Martin	
June Grube	
Sue Rajecki	

Review of Annual and 5-Year Plans 2010 – 2014

The RAB members were asked to review and comment on a draft of the PHA's Annual and 5-Year Plans for 2010 – 2014. Their comments were discussed at a RAB meeting, with Public Housing Manager Ginny Royer present, on August 24, 2009. RAB comments follow.

[Note: *Housing Authority (PHA) responses to these comments, made either at the meeting and/or subsequently presented to the RAB in September 2009, are provided below, in italics, following each RAB comment.*]

1. Can the handicapped access ramp from the main Oliver C. Border House (OCB) parking lot to the entrance be relocated, as the existing ramp is too steep and somewhat far from the entrance itself?

The PHA is undertaking a Project Needs Assessment (PNA) in the next few months, with the intent of identifying items at both OCB and Howard Jones Manor (HJM) that should be improved, either as part of a Capital Fund Project or as part of in-house or routine maintenance. This concern (and several others noted below) will be looked at as part of the PNA. An initial response to this particular suggestion is that it may be feasible contingent upon the removal of a tree adjacent to the parking lot and determination that the sidewalk grade from the parking lot to the OCB entrance meets current ADA slope requirements. (If it

doesn't, and if a lengthy ramp would have to be constructed in a rather limited area, the solution may prove to be not much better than the existing condition. This will all be looked at in the PNA.)

The PNA will also consider, of course, the financial capability of the PHA to implement any given project within its anticipated budget in the coming years.

2. Can the handicapped-designated parking spaces in the main lot at OCB be made wider, to make access easier?

Doing this might require the elimination of a parking space, a tree adjacent to the parking lot, and/or some curb work. Another possibility might involve relocating the handicapped spaces. These possibilities will be considered as part of the PNA described above.

3. Can dead and broken branches be removed from the trees around the OCB main parking lot?

Yes. This work will be included in the next round of tree maintenance, which the PHA does periodically.

4. Can the large pine trees around the OCB main parking lot be removed due to sap dripping onto cars?

This will be looked at as part of the PNA.

5. Can the parking lots and entrances in the rear (east) of OCB be made accessible for residents, as they might provide easier access to residents with various health conditions?

Neither of these lots was intended or is suitable for resident use. The lower lot is adjacent to the PHA offices and used by staff and clients. Furthermore, entrance to the building from this lot is not handicapped accessible to resident areas of Oliver C. Border House. The upper lot is used by the Area Agency on Aging and its clients, and also not intended for tenant use. In addition, the entrances to the building off this lot are not adequate as resident lobbies from either an accessibility, size or security point of view.

6. Can something be done to prevent cars from bottoming out as they enter the main OCB parking lot from Wood Street?

This has been looked at in the past, and possible modifications have proven to be not financially feasible. There are conflicting requirements for drainage and traffic. Nevertheless, it will be studied again as part of the PNA.

7. Can the hallway air conditioning units in OCB be replaced, as many do not currently work well?

This question may refer either to the elevator lobbies on the upper floors or the PTAC units in the community room. The upper floor units are currently being replaced (as part of a recent Capital Fund project) and should be operational soon. Regarding the community room units, parts are on order for some of them and repairs are expected. However, the units are old and inefficient, and parts may not always be available. Replacement of these units will be considered in the PNA.

8. Can apartment windows at OCB be repaired? Many leak and/or have problems with the upper sash sliding down.

Repairs are in process for these windows. Many will be fitted with a removable dowel through the sash into the jamb that will fasten the upper sash and eliminate a lot of current problems. Based on tenant feedback from questionnaires about the windows in their units, repairs of other problems will be undertaken in the near future.

9. When will stoves, refrigerators and toilets be replaced?

Stove and refrigerator replacement at OCB is expected to begin within the next few months: funds were set aside in the recent Stimulus Program (ARRA) funding that the PHA received from HUD. If insufficient funds are available in this program to replace stoves and refrigerators as needed, additional funding will be provided from other Capital Funds in the PHA's 5-Year Plan.

Toilet and/or toilet seat replacement is scheduled as a use for 2010 Capital Funds.

10. Can showers in OCB be adjusted to ensure proper mixing of hot and cold water?

Residents are requested to advise management to arrange for maintenance personnel to look at individual shower water supply. This does not seem to be a general problem throughout the building.

There were no additional comments noted.

APPENDIX F

NORTHAMPTON COUNTY HOUSING AUTHORITY 5-YEAR PLAN PHA FISCAL YEARS 2010 - 2014 [24 CFR Part 903.5]

***VIOLENCE AGAINST WOMEN ACT:* SUMMARY OF PROCEDURES AND POLICIES**

Domestic Violence, Dating Violence, Sexual Assault, Stalking

The Northampton County Housing Authority has adopted a policy (see below) to implement applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. 109-162 (VAWA)). NCHA's goals, objectives and policies enable NCHA to serve the needs of child and adult victims of domestic violence, dating violence and stalking, as defined in VAWA, are stated in the NCHA VAWA Policy.

In addition:

- A. The following activities, services, or programs are provided by NCHA, directly or in partnership with other service providers, to child and adult victims of domestic violence, dating violence, sexual assault or stalking.
 - Timely referrals on as needed basis to Turning Point of the Lehigh Valley 610-437-3369 Website: www.turningpointlv.org
 - Timely referrals to Crime Victims Council of the Lehigh Valley, Inc. 610-437-6611 Website: www.cvclv.org
- B. The following activities, services, or programs are provided by NCHA to help child and adult victims of domestic violence, dating violence, sexual assault or stalking maintain housing.
 - Timely referrals on an as needed basis to Northampton County Department of Human Services General Intake 610-559-3270 for referrals to Mental Health, Children and Youth and Information and Referral Services.
 - This Includes the Northampton County IRES (Information Referral and Emergency Services contact)
 - Referral to 24 Hour Crisis Line at 610-252-9060
- C. The following activities, services or programs are provided by NCHA to prevent domestic violence, dating violence, sexual assault and stalking, or to enhance victim safety in assisted families.
 - None, other than aforementioned referral information.